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PTO/SB/21 (08-03) Approved for use through 08/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE a collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons required to respond Application Number 09/539 458 TRANSMITTAL Filing Date March 30, 2000 **FORM** First Named Inventor Mark S. Chang et al. Art Unit (to be used for all correspondence after initial filing) 2814 Examiner Name H. Pham Attorney Docket Number DA01028 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC **V** Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Change of Correspondence Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement REC Remarks Certified Copy of Priority Document(s) OCT 14 ZUU4 Response to Missing Parts/ Incomplete Application Response to Missing Parts **TECH CENTER 2800** under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTOKNEY, OR AGENT Firm Winstead Sechrast & M Individual name 1960. No Robert A: Void

CERTIFICATE OF TRANSMISSION/MAILING

Signature Date

October 5, 2004

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Mark S. Chang et al.

Serial No.:

09/539,458

RECEIVED

Filed:

March 30, 2000

OCT 14'2004

Group Art Unit:

2814

TECH CENTER 2800

Before the Examiner: Pham, H.

Title:

METHOD AND SYSTEM FOR PROVIDING CONTACT TO

A FIRST POLYSILICON LAYER IN A FLASH MEMORY

DEVICE

REPLY UNDER 37 C.F.R. § 1.116

Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action having a mailing date of September 13, 2004 (Paper No. 15), having a three-month shortened statutory period for response set to expire on December 13, 2004, please reconsider the rejections of the claims in view of the following remarks:

CERTIFICATION UNDER 37 C.F.R. § 1.8

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Serena Beller

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